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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re the Application of:

MICHAEL J. PAYNE, ET AL.

Application No.: 09/965,052

Filed: September 25, 2001

For: **Speaker Independent Voice Recognition (SIVR) Using Dynamic Assignment of Speech-Contexts, Dynamic Biasing, and Multi-Pass Parsing**

Art Group: 2644

Examiner: Unknown

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

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In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

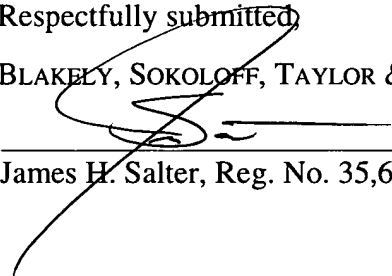
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

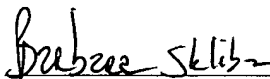
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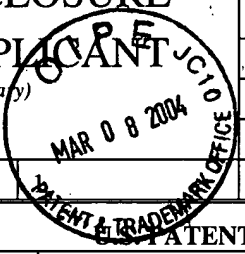
**Barbara Skliba**

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**Date**

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<b>Substitute for Form 1449A/PTO (Modified)</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	09/965,052	
			Filing Date	September 25, 2001	
			First Named Inventor:	Michael J. Payne	
			Group Art Unit	2644	
			Examiner Name	Unknown	
Sheet	1	of	Attorney Docket Number		42P11780



U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number known	Kind Code <sup>2</sup> (If known)			
		6,266,635	B1	Sneh	07-24-2001	
		6,324,507	B1	Lewis et al.	11-27-2001	<b>RECEIVED</b>
		6,334,102	B1	Lewis et al.	12-25-2001	
		6,370,238	B1	Sansone et al.	04-09-2002	<b>MAR 10 2004</b>

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FOREIGN PATENT DOCUMENTS								
Exa m.  Initi al*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

OTHER ART - NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		The Cure is in Hand, Bringing Information Technology to Patient Care, WR Hambrecht +Co. company information pages - page 78, October 2000.	
		Intel Internet Authentication Services, Privacy and Security for HealthCare Transactions Over the Internet, Intel Corporation, 8 pages, Copyright 2000.	
		IEEE Std 802.11b-1999 (Supplement to ANSI/IEEE Std 802.11, 1999 Edition), 97 pages.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.